

SECTION 2

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FB-1405

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven J. Visco et al.  
(Craig P. Jacobson et al.)

Ser. No.: 09/974,936

Filed: 10/10/2001

For: Electrochemical Device and  
Process of Making

Group No:

Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner of Patents and Trademarks  
Washington, DC 20231

In response to the Notice to File Missing Parts dated 11/13/2001, copy attached, the Commissioner is hereby authorized to charge the indicated fees (\$65) to Deposit Account. 12-0690. (A duplicate of this letter is enclosed for fee processing.) The Commissioner is further authorized to charge any additional fees which may be due to complete the filing of the application.

A declaration in compliance with 37 CFR 1.63 signed by the inventors, identifying the application by Serial Number and Filing Date, is submitted herewith.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on \_\_\_\_\_

Feb. 13, 2002

Signed: Henry P. Sartorio  
HENRY P. SARTORIO

09974936-022702

Substitute drawings in compliance with 37 CFR 1.84 are also submitted herewith.

A petition and fees for a one-month extension of time is also submitted (in duplicate including authorization to charge the \$55 fee to the deposit account.)

The cover page of the application listed Steven J. Visco as the first named inventor. The declaration has changed the order of the three inventors to list Craig P. Jacobson as the first named inventor. Applicants request that the PTO change their records to reflect this change in the order of named inventors and designate Craig P. Jacobson as the first named inventor.

Respectfully submitted,

Date: February 12, 2002



Henry P. Sartorio  
Attorney for Applicant  
Reg. No. 28,535

09974936-022702  
20/2220-9E64/660



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**SUBMISSION OF SUBSTITUTE DRAWINGS**

Commissioner of Patents and Trademarks  
Washington, DC 20231

The Notice to File Missing Parts indicates that the application is informal, and requests submission of substitute drawings because the drawing sheets do not have appropriate margins. Substitute drawings with proper margins are submitted herewith.

Respectfully submitted,

Date: February 12, 2002

Henry P. Sartorio  
Attorney for Applicant  
Reg. No. 28,535

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on \_\_\_\_\_

Feb. 13, 2002

Signed: \_\_\_\_\_

Henry P. Sartorio  
HENRY P. SARTORIO



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,936	10/10/2001	Steven J. Visco	IB-1405

08076

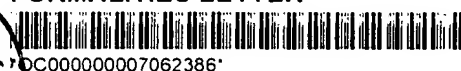
LAWRENCE BERKELEY NATIONAL LABORATORY  
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UNIVERSITY OF CALIFORNIA  
BERKELEY, CA 94720

COPY OF PAPERS  
ORIGINALLY FILED



CONFIRMATION NO. 1117

FORMALITIES LETTER



Date Mailed: 11/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/974,936-022706

*Penber*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09974936-022702